PTO/SB/05 (05-03)
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## UTILITY PATENT APPLICATION **TRANSMITTAL**

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|---|-----------------------------------|--|--|--|
| UTILITY PATENT APPLICATION TRANSMITTAL                                | Attorney Docket No.               | 781-A03-003-1  |  |  |
|   | First Inventor                    | P. Bonutti   |  |  |
|   | Title                             | METHOD AND APPARATUS FOR SECURING TISSUE             |  |  |
| (Only for new nonprovisional applications under 37 CFR 1.53(b))       | Express Mail Label No.            | EV 269991481 US                                      |  |  |

| See MPEP c  | APPLICATION E   | LEMENTS ity patent application contents.                        | ADDRES   | SS TO:  | Mail Stop P<br>P.O. Box 14 | oner for Patents<br>Patent Application<br>450<br>VA 22313-1450 |               |  |  |
|---|---|---|--|---|----------------------------|--|---------------|--|--|
| 1.  Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27. 3.  Specification [Total Pages 50] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure |   |   |  | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Reader Form (CRF)  b. Specification Sequence Listing on:  i. CD-ROM or CD-R (2 copies); or  ii. Paper  c. Statements verifying identity of above copies  ACCOMPANYING APPLICATION PARTS |                            |  |               |  |  |
| 4. Drawin   | g(s) (35 U.S.C. 113)  | [Total Sheets 7 ]   | 9.   10.   | 37 CFR 3.73(b   | ) Stateme                  |  | ower of       |  |  |
| 5. Oath or Dec  | aration<br>/ly executed (original o   | [Total Sheets 1 ]   | 11. 🔲<br>12. 📝   | · · · · · · · · · · · · · · · · · · ·   |                            |  |               |  |  |
|   | y from a prior applicati<br>continuation/divisional   | 13. 🔲<br>14. 📝  | 13. Preliminary Amendment 14. Return Receipt Postcard (MPEP 503) |   |                            |  |               |  |  |
|   | DELETION OF INVEN<br>igned statement attached<br>name in the prior application<br>i.63(d)(2) and 1.33(b).<br>cation Data Sheet. See | I deleting inventor(s)<br>on, see 37 CFR                        | 15.  | (if foreign priority is claimed)  16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent   |                            |  |               |  |  |
|   |   | , check appropriate box, and su<br>Application Data Sheet under |  | site information  | below and                  | d in the first ser   | ntence of the |  |  |
| Contin  | uation D  |   | uation-in-part (C  | •   | • •                        | 09/556,4<br>n No.:   | 458           |  |  |
| Prior application information: Examiner G. Jackson Art Unit: 3731  For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.   |   |   |  |   |                            |  |               |  |  |
|   |   | 19. CORRESPO  | NDENCE AD  | DRESS   | <del></del>                |  |               |  |  |
| Customer Number or Bar Code Label  (Insert Customer No. or Attach bar code label here)  OR Correspondence address below   |   |   |  |   |                            |  |               |  |  |
| Name  | Paul D. Bianco  |   |  |   |                            |  |               |  |  |
| Address   | Fleit, Kain, Gibbons, Gutman & Bongini P.L. 601 Brickell Key Drive, Suite 404   |   |  |   |                            |  |               |  |  |
| City  | Miami State FL Zip Code 33131   |   |  |   |                            | 33131  |               |  |  |
| Country   | US  |   | Telephone 3  | 305 931-9620  |                            | Fax  | 305 931-9627  |  |  |
| Name (Print/Ty  | pe) Paul D. Bienco  |   | Registration   | on No. (Attorne)  | //Agent)                   | 43,500   |               |  |  |
| Signatura   |   | 1 4   |  |   |                            | Date   | 1010 7 2002   |  |  |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## **FEE TRANSMITTAL** for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

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| ~ | Applicant | claims sma | II entity s | status. | See 37 | CFR 1. | 27 |
|---|-----------|------------|-------------|---------|--------|--------|----|
|   |           |            |             |         |        |        |    |

**TOTAL AMOUNT OF PAYMENT** 

| Complete if Known    |               |  |  |  |
|----------------------|---------------|--|--|--|
| Application Number   |               |  |  |  |
| Filing Date          |               |  |  |  |
| First Named Inventor | P. Bonutti    |  |  |  |
| Examiner Name        |               |  |  |  |
| Art Unit             |               |  |  |  |
| Attorney Docket No.  | 782-A03-003-1 |  |  |  |

| METH                        | OD OF PAYMENT (chec                    | ck all that apply)                       | FEE CALCULATION (continued) |              |              |             |  |   |
|-----------------------------|--|--|-----------------------------|--------------|--------------|-------------|--|---|
| Check                       | Credit card Money                      | Other None                               | 3. ADDITIONAL FEES          |              |              |             |  |   |
| Deposit A                   | Order                                  |  | Large Entity   Small Entity |              |              | Entity      |  |   |
| Deposit                     |  |  | Fee<br>Code                 |              |              | Fee<br>(\$) | Fee Description  | Eac Baid  |
| Account<br>Number           | 500601                                 |  | 1051                        | 130          | 2051         |             | Surcharge - late filing fee or oath  | Fee Paid  |
| Deposit                     | Fleit, Kain, Gibbons, Gutn             | nan & Bongini                            | 1052                        | 50           | 2052         |             | Surcharge - late provisional filing fee or                                 |   |
| Account<br>Name             | Tielt, Rain, Gibbons, Guin             | lan & Bongini                            | 4050                        | 400          | 4050         | 400         | cover sheet  |   |
|                             | authorized to: (check all that         |  | 1053                        | 130<br>2,520 | 1053<br>1812 |             | Non-English specification  For filing a request for ex parte reexamination |   |
|                             | ,                                      | redit any overpayments                   | 1804                        | 920*         | 1804         |             | Requesting publication of SIR prior to                                     |   |
|                             | additional fee(s) during the pe        | •  | 1007                        | 320          | '004         | 320         | Examiner action  | <b></b>   |
|                             | (s) indicated below, except for        | the filing fee                           | 1805                        | 1,840*       | 1805         | 1,840*      | Requesting publication of SIR after<br>Examiner action                     |   |
| to the above-id             | entified deposit account.              | 201                                      | 1251                        | 110          | 2251         | 55          | Extension for reply within first month                                     |   |
|                             | FEE CALCULATION                        | <u>N</u>                                 | 1252                        | 410          | 2252         | 205         | Extension for reply within second month                                    |   |
| 1. BASIC FI                 |  |  | 1253                        | 930          | 2253         | 465         | Extension for reply within third month                                     |   |
| Fee Fee                     | <u>Fee Fee Fee Description</u>         | on Fee Paid                              | 1254                        | 1,450        | 2254         | 725         | Extension for reply within fourth month                                    |   |
|                             | Code (\$) 2001 375 Utility filing fe   |  | 1255                        | 1.970        | 2255         | 985         | Extension for reply within fifth month                                     |   |
|                             | 2002 165 Design filing                 | [3/5.00 ]                                | 1401                        | 320          | 2401         | 160         | Notice of Appeal   |   |
|                             | 2003 260 Plant filing fe               |  | 1402                        | 320          | 2402         |             | Filing a brief in support of an appeal                                     |   |
|                             | 2004 375 Reissue filing                | <u> </u>                                 | 1403                        | 280          | 2403         |             | Request for oral hearing   |   |
| 1005 160                    | 2005 80 Provisional fil                | · —                                      | 1451                        | 1,510        | 1451         | 1,510       | Petition to institute a public use proceeding                              |   |
|                             | SUBTOTAL (1                            |  | 1452                        | 110          | 2452         | 55          | Petition to revive - unavoidable   |   |
|                             |  | , r.,                                    | 1453                        | 1,300        | 2453         | 650         | Petition to revive - unintentional   |   |
| 2. EXTRA (                  | CLAIM FEES FOR UTIL                    | ITY AND REISSUE                          | 1501                        | 1,300        | 2501         | 650         | Utility issue fee (or reissue)   |   |
|                             | Extra Claims                           | below Fee Paid                           | 1502                        | 470          | 2502         | 235         | Design issue fee   |   |
| Total Claims<br>Independent | 21 -20** = 1                           |  | 1503                        | 630          | 2503         | 315         | Plant issue fee  |   |
| Claims<br>Multiple Depe     | 3 - 3** = 0 >                          | 42.00 = 0.00                             | 1460                        | 130          | 1460         | 130         | Petitions to the Commissioner  |   |
|                             |  | <u> </u>                                 | 1807                        | 50           | 1807         | 7 50        | Processing fee under 37 CFR 1.17(q)  |   |
| Large Entity<br>Fee Fee     | Small Entity Fee Fee Fee Desc          | ription                                  | 1806                        | 180          | 1806         |             | Submission of Information Disclosure Stmt                                  |   |
| Code (\$)                   | Code (\$)                              |  | 8021                        | 40           | 802          | 1 40        | Recording each patent assignment per property (times number of properties) |   |
| 1202 18                     | 2202 9 Claims in ex                    |  | 1809                        | 750          | 2809         | 375         | Filing a submission after final rejection                                  |   |
| 1201 84                     |  | claims in excess of 3                    |                             | _            | l .          |             | (37 CFR 1.129(a))  | $\mid - \mid - \mid \mid$ |
| 1203 280                    |  | endent claim, if not paid                | 1810                        | 750          | 2810         | 375         | For each additional invention to be examined (37 CFR 1.129(b))             |   |
| 1204 84                     | 2204 42 ** Reissue i<br>over origir    | ndependent claims<br>nal patent          | 1801                        | 750          | 2801         | 375         | Request for Continued Examination (RCE)                                    |   |
| 1205 18                     |  | laims in excess of 20<br>original patent | 1802                        | 900          | 1802         | 900         | Request for expedited examination of a design application                  |   |
|                             | CLIPTOTAL (O)                          | (\$) 9.00                                | Other                       | fee (sp      | ecify) _     |             | · · · · · · · · · · · · · · · · · · ·                                      |   |
|                             |  |  |                             | uced by      | Basic i      | Filing F    | ee Paid SUBTOTAL (3) (\$)  | 0.00  |
|                             | SUBMITTED BY (Complete (if applicable) |  |                             |              |              |             |  |   |

Registration No. Name (Print/Type) Paul D. Bianco 43,500 Telephone 305 931-9620 (Attorney/Agent) July 7, 2003 Signature

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: P. Bonutti

Docket No.: 782-A03-003-1

Application No.: To be Assigned

(Continuation of App. No.: 09/556,458)

Group Art Unit: Examiner:

Filed: Herewith

For: METHOD AND APPARATUS FOR SECURING TISSUE

## **CERTIFICATE OF EXPRESS MAILING**

Commissioner for Patents Alexandria, VA 22313-1450

**PATENTS** 

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I hereby certify that the attached paper(s) or fee(s) is/are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to, Mail Stop: PATENT APPLICATION, Commissioner for Patents, P.O. Box: 1450, Alexandria, VA 22313-1450

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**Dinah Fuentes** 

(Typed or printed name of person mailing paper or fee)